

The Heisenberg model on the triangular lattice, again

Federico Becca

Quantum Spin Liquids 2025: Experimental Enigmas & Theoretical Challenges
Budapest, October 2025



UNIVERSITÀ
DEGLI STUDI DI TRIESTE

S. Budaraju, A. Parola, Y. Iqbal, FB, and D. Poilblanc, Phys. Rev. B **111**, 125150 (2025)

S. Budaraju *et al.*, in preparation

1 INTRODUCTION

- Conventional and unconventional ground states
- The phase diagram of the $J_1 - J_2$ Heisenberg model on the triangular lattice

2 VARIATIONAL WAVE FUNCTIONS FOR SPIN MODELS

- The (fermionic) parton approach
- The spin-liquid region
- Excited “spinon” states
- Excited “monopole” states

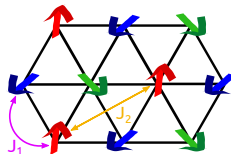
3 RESULTS

- Two-spinon excitations
- Monopole excitations

4 AGAIN AND AGAIN

- S. Budaraju’s talk: the effect of an external magnetic field

$$\mathcal{H} = J_1 \sum_{\langle R, R' \rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'} + J_2 \sum_{\langle\langle R, R' \rangle\rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'}$$



- **Magnetic order** settles down in weakly frustrated cases

Spontaneous symmetry breaking of the SU(2) spin symmetry $\langle \mathbf{S}_R \rangle \neq 0$

- Elementary excitations are **gapless magnons**, i.e., $S = 1$ objects

Goldstone modes of the broken symmetry

- The low-energy theory is described by **semi-classical** approaches

Holstein-Primakov bosons and spin-wave approximation

T. Holstein and H. Primakoff, Phys. Rev. **58**, 1098 (1940)

Looking for a magnetically disordered ground state

- Many theoretical suggestions since P.W. Anderson (1973)

P.W. Anderson, Mater. Res. Bull. **8**, 153 (1973)

P. Fazekas and P.W. Anderson, Phil. Mag. **30**, 423 (1974)

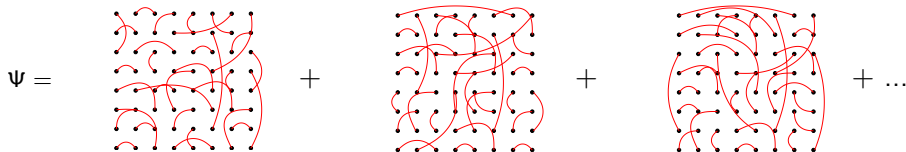
“Resonating valence-bond” (RVB) states

Idea: the best state for two spin-1/2 spins is a valence bond (a spin singlet):

$$|VB\rangle_{\mathbf{R},\mathbf{R}'} = \frac{1}{\sqrt{2}} (|\uparrow\rangle_{\mathbf{R}} |\downarrow\rangle_{\mathbf{R}'} - |\downarrow\rangle_{\mathbf{R}} |\uparrow\rangle_{\mathbf{R}'})$$

Every spin of the lattice is coupled to a partner

Then, take a superposition of different valence bond configurations



- **The low-energy properties are described by gauge theories**

Matter fields are fractional $S = 1/2$ (spinons)

Gauge fields are emerging from the fractionalization approach

G. Baskaran and P.W. Anderson, Phys. Rev. B **37**, 580 (1988)

N. Read and S. Sachdev, Phys. Rev. Lett. **66**, 1773 (1991)

X.-G. Wen, *Quantum Field Theory of Many-Body Systems* (Oxford, 2004)

Z_2 gauge fields + spinons $\Rightarrow$ stable deconfined phase

N. Read and S. Sachdev, Phys. Rev. Lett. **66**, 1773 (1991)

T. Senthil and M.P. Fisher, Phys. Rev. B **62**, 7850 (2000)

A. Kitaev, Ann. Phys. **321**, 2 (2006)

$U(1)$ gauge fields + spinons $\Rightarrow$???

A.M. Polyakov, Nucl. Phys. B **120**, 429 (1977)

N. Read and Sachdev, Phys. Rev. Lett. **62**, 1694 (1989)

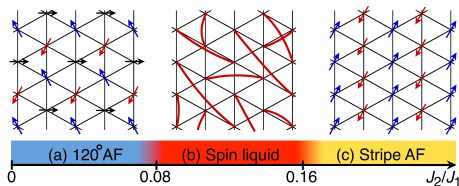
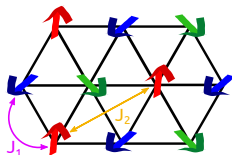
On frustrated lattices, a deconfined phase could be possible

X.-Y. Song, C. Wang, A. Vishwanath, and Y.-C. He, Nat. Comm. **10**, 4254 (2019)

A. Wietek, S. Capponi, and A.M. Läuchli, Phys. Rev. X **14**, 021010 (2024)

THE $J_1 - J_2$ HEISENBERG MODEL ON THE TRIANGULAR LATTICE

$$\mathcal{H} = J_1 \sum_{\langle R, R' \rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'} + J_2 \sum_{\langle\langle R, R' \rangle\rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'}$$



- Coplanar antiferromagnetic (120°) order for $J_2/J_1 \lesssim 0.08$
- Spin liquid (presumably gapless) for $0.08 \lesssim J_2/J_1 \lesssim 0.16$
- Collinear antiferromagnet $0.16 \lesssim J_2/J_1 < ???$

Z. Zhu and S.R. White, Phys. Rev. B **92**, 041105 (2015)

W.-J. Hu, S.-S. Gong, W. Zhu, and D.N. Sheng, Phys. Rev. B **92**, 140403 (2015)

Y. Iqbal, W.-J. Hu, R. Thomale, D. Poilblanc, and F. Becca, Phys. Rev. B **93**, 144411 (2016)

S. Hu, W. Zhu, S. Eggert, and Y.-C. He, Phys. Rev. Lett. **123**, 207203 (2019)

- Consider the spin-1/2 Heisenberg model on a generic lattice

$$\mathcal{H} = \sum_{R,R'} J_{R,R'} \mathbf{S}_R \cdot \mathbf{S}_{R'}$$

- A faithful representation of spin-1/2 is given by

$$S_R^a = \frac{1}{2} c_{R,\alpha}^\dagger \sigma_{\alpha,\beta}^a c_{R,\beta}$$

SU(2) gauge redundancy
e.g., $c_{R,\beta} \rightarrow e^{i\theta_R} c_{R,\beta}$

- The spin model is transformed into a purely interacting fermionic system

$$\mathcal{H} = \sum_{R,R'} J_{R,R'} \sum_{\sigma,\sigma'} \left(\sigma \sigma' c_{R,\sigma}^\dagger c_{R,\sigma} c_{R',\sigma'}^\dagger c_{R',\sigma'} + \frac{1}{2} \delta_{\sigma',\bar{\sigma}} c_{R,\sigma}^\dagger c_{R,\sigma'} c_{R',\sigma'}^\dagger c_{R',\sigma} \right)$$

- One spin per site $\rightarrow$ we must impose the constraint

$$c_{i,\uparrow}^\dagger c_{i,\uparrow} + c_{i,\downarrow}^\dagger c_{i,\downarrow} = 1$$

- The SU(2) symmetric “mean-field” approximation gives a BCS-like form

$$\mathcal{H}_0 = \sum_{R,R',\sigma} t_{R,R'} c_{R,\sigma}^\dagger c_{R',\sigma} + \sum_{R,R'} \Delta_{R,R'} c_{R,\uparrow}^\dagger c_{R',\downarrow}^\dagger + h.c.$$

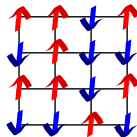
$\{t_{R,R'}\}$ and $\{\Delta_{R,R'}\}$ define the “mean-field” Ansatz $\Rightarrow$ BCS spectrum $\{\epsilon_\alpha\}$

The constraint is no longer satisfied locally (only on average)

- The constraint can be inserted by the **Gutzwiller projector** $\rightarrow$ **RVB**

$$|\Psi_0\rangle = \mathcal{P}_G |\Phi_0\rangle$$

$$\mathcal{P}_G = \prod_R (n_{R,\uparrow} - n_{R,\downarrow})^2$$



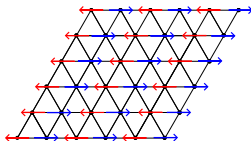
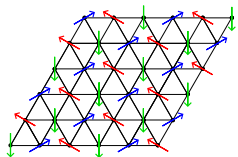
- The exact projection can be treated within the variational Monte Carlo approach

F. Becca and S. Sorella, *Quantum Monte Carlo Approaches for Correlated Systems*

- Magnetic states [breaking SU(2)] can be also constructed

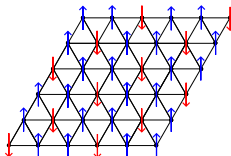
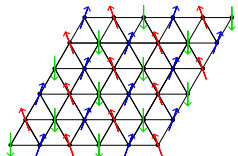
$$\mathcal{H}_0 = \sum_{R,R',\sigma} t_{R,R'} c_{R,\sigma}^\dagger c_{R',\sigma} + \sum_R \mathbf{h}_R \cdot \mathbf{S}_R$$

Magnetic state for $J_2/J_1 \lesssim 0.08$ and $J_2/J_1 \gtrsim 0.16$



Y. Iqbal, W.-J. Hu, R. Thomale, D. Poilblanc, and F. Becca, Phys. Rev. B **93**, 144411 (2016)

Magnetic states in an external magnetic field



- Within the spin-liquid regime $0.07 \lesssim J_2/J_1 \lesssim 0.16$

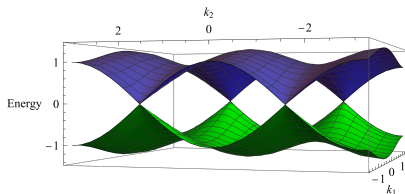
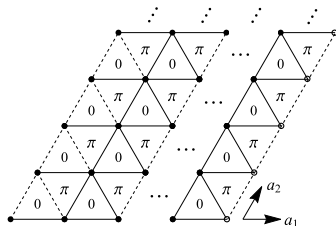
Only hopping with non-trivial sign structure

Two Dirac points in the reduced Brillouin zone ($N_f = 4$ gapless fermions)

$$\mathcal{H}_0 = \sum_{R,R',\sigma} t_{R,R'} c_{R,\sigma}^\dagger c_{R',\sigma}$$

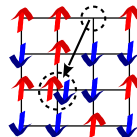
- **Accurate ground-state energies are obtained (compared to other methods)**

Y. Iqbal, W.-J. Hu, R. Thomale, D. Poilblanc, and F. Becca, Phys. Rev. B **93**, 144411 (2016)



- For each momentum q a set of (two-spinon) states is defined

$$|q, R\rangle = \mathcal{P}_G \frac{1}{\sqrt{L}} \sum_{R'} e^{iqR'} (c_{R+R',\uparrow}^\dagger c_{R',\uparrow} - c_{R+R',\downarrow}^\dagger c_{R',\downarrow}) |\Phi_0\rangle$$



- The spin Hamiltonian is diagonalized within this (non-orthogonal) basis set

$$\sum_{R'} H_{R,R'}^q A_{R'}^{n,q} = E_n^q \sum_{R'} O_{R,R'}^q A_{R'}^{n,q}$$

- The Matrix elements are computed within standard variational Monte Carlo

T. Li and F. Yang, Phys. Rev. B **81**, 214509 (2010)

- The generic “eigenstate” of the Hamiltonian is

$$|\Psi_n^q\rangle = \sum_R A_R^{n,q} |q, R\rangle$$

If $A_R^{n,q} = \delta_{R,0}$, on-site particle-hole excitations in $|q, R\rangle$

We obtain the the single-mode approximation (magnon)

$$|\Psi_n^q\rangle = S_q^z |\Psi_0\rangle$$

In general, $A_R^{n,q} \neq \delta_{R,0}$, non-local particle-hole excitations in $|q, R\rangle$

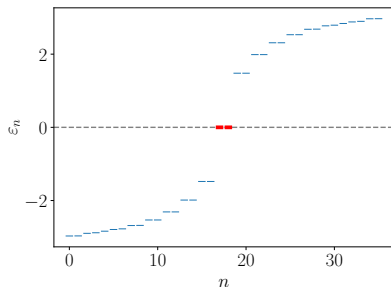
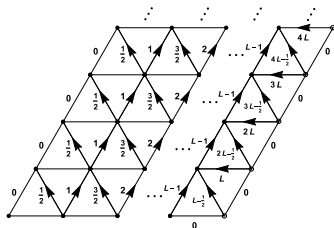
- The dynamical structure factor is approximated by

$$S^z(q, \omega) = \sum_n \left| \sum_R (A_R^{n,q})^* O_{R,0}^q \right|^2 \delta(\omega - E_n^q + E_0)$$

At most L states for each momentum q

MONOPOLE EXCITATIONS IN U(1) SPIN LIQUIDS

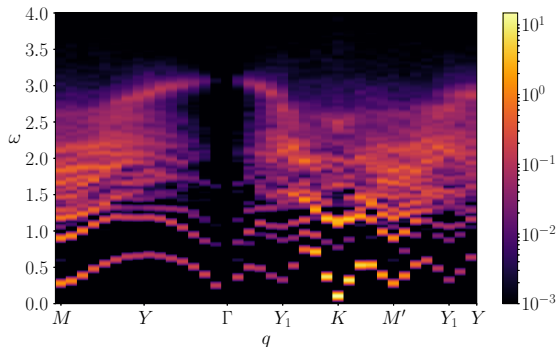
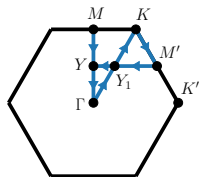
- **Low-energy gauge fluctuations change the flux pattern $\Rightarrow$ U(1) symmetry**
- A $\pm 2\pi$ flux on top of the Dirac state ($Q = 1$ monopole)



- For each choice $\phi = \pm 2\pi$, 4 orbitals to be filled with 2 fermions
6 singlets and 2 triplets
- $Q > 1$ monopoles can be also constructed with $\pm 2\pi Q$ fluxes

THE FRUSTRATED CASE: CLOSE TO THE QCP

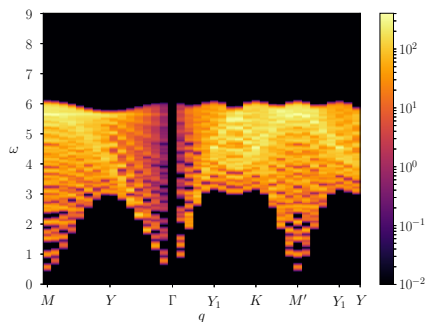
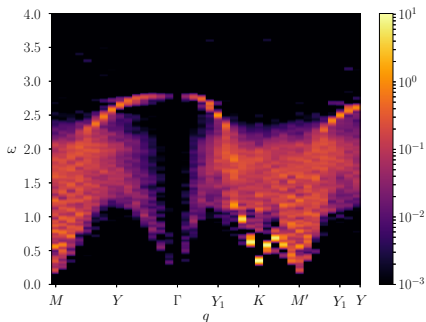
- $J_1 - J_2$ model with $J_2/J_1 = 0.07$



- Low-energy excitations at K point (magnon)
- Low-energy excitations at M point (bilinear of spinons)

THE FRUSTRATED CASE: INSIDE THE (GAPLESS) SPIN-LIQUID PHASE

- $J_1 - J_2$ model with $J_2/J_1 = 0.125$

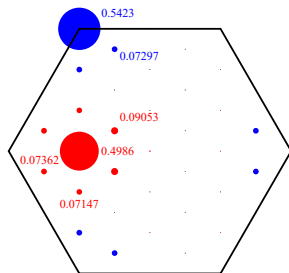


- Low-energy excitations at K point (monopole?)
- Low-energy excitations at M point (bilinear of spinons)

- Monopole states break translational symmetries
- On the 6×6 cluster, symmetries can be imposed (brutally)

$$\mathcal{N}_k^2 = \langle \Psi | \mathcal{P}_k^2 | \Psi \rangle$$

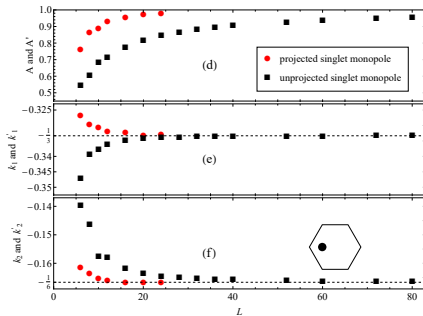
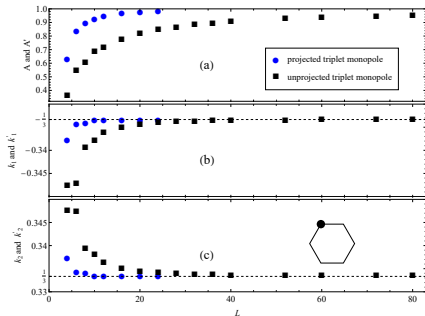
$$\sum_k \mathcal{N}_k^2 = 1$$



- Triplet monopole at $\mathbf{k} = (-2\pi/3, 2\pi/\sqrt{3})$
- Singlet monopoles at $\mathbf{k} = (-2\pi/3, 0)$
[$\mathbf{k} = (\pi/3, \pm\pi/\sqrt{3})$]]

- Large overlaps (0.69 and 0.62) with exact low-energy states
- Large overlap (0.67 and 0.64) between monopole and two-spinon states!

THE MOMENTUM OF MONOPOLES



- Unprojected wave function

$$\frac{\langle \Phi | \mathcal{G}_{T_j}^m | \Phi \rangle}{\langle \Phi | \Phi \rangle} = A e^{ik_j}$$

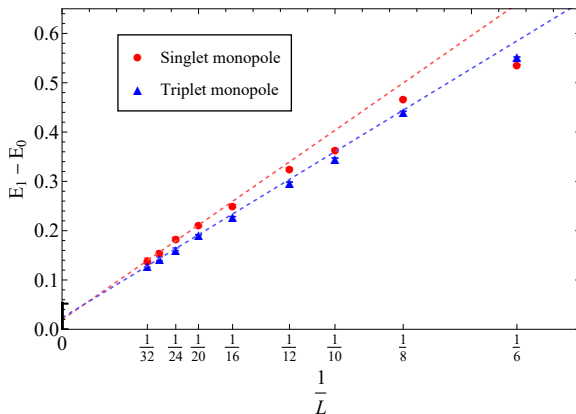
- Projected wave function

$$\frac{\langle \Psi | T_j | \Psi \rangle}{\langle \Psi | \Psi \rangle} = A' e^{ik'_j}$$

X.-Y. Song, C. Wang, A. Vishwanath, and Y.-C. He, Nat. Comm. **10**, 4254 (2019)

S. Budaraju, A. Parola, Y. Iqbal, FB, and D. Poilblanc, Phys. Rev. B **111**, 125150 (2025)

- The $Q = 1$ Monopole state is gapless (in the thermodynamic limit)



- The monopole excitations are gapless** (as in the large- N_f limit)

S. Budaraju, A. Parola, Y. Iqbal, FB, and D. Poilblanc, Phys. Rev. B **111**, 125150 (2025)

- On the conformal sphere (large- N_f)

$$E_Q - E_0 = \frac{\Delta_Q^0 N_f + \Delta_Q^1}{R}$$

with $\Delta_Q^0 \propto Q^{3/2}$

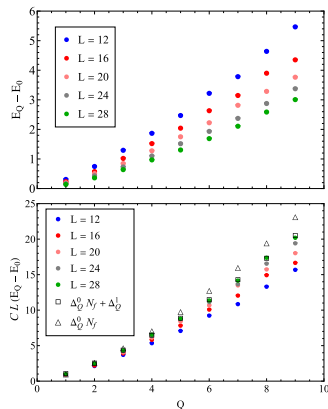
V. Borokhov, A. Kapustin, and X. Wu, J. High Energy Phys. **11** (2002) 049

E. Dyer, M. Mezei, and S.S. Pufu, arXiv:1309.1160

E. Dupuis, R. Boyack, and W. Witczak-Krempa, Phys. Rev. X **12**, 031012 (2022)

- On the $L \times L$ torus ($N_f = 4$)

$$E_Q - E_0 \propto \frac{Q^{3/2}}{L}$$

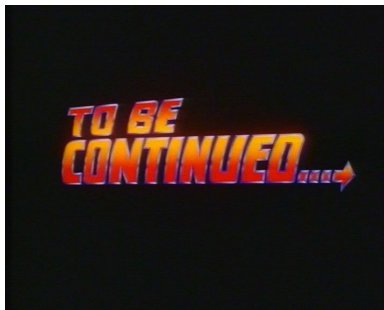


$$\mathcal{H} = J_1 \sum_{\langle R, R' \rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'} + J_2 \sum_{\langle\langle R, R' \rangle\rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'} + B \sum_R S_R^z$$

An old problem important for the future

A.V. Chubukov and D.I. Golosov, J. Phys.: Condens. Matter **3**, 69 (1991)

S. Budaraju *et al.*, in preparation



by S. Budaraju, next talk “Back to the Future”